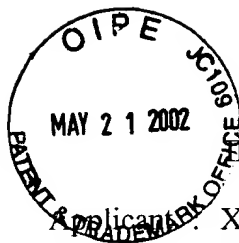


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Applicant's Docket No.: 08575-046001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xuehai En et al.

Art Unit : 2164

Serial No. : 09/346,195

Examiner : Richard C. Weisberger

Filed : July 1, 1999

Title : SELECTING INVESTMENTS FOR A PORTFOLIO

Commissioner for Patents
Washington, D.C. 20231

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Technology Center 2000

RESPONSE TO THE ACTION DATED DECEMBER 26, 2001

REMARKS

Applicant's remarks, below, are preceded by quotations of the related comments of the Examiner in small, bold-face type.

Pursuant to rule 1.105, the following information is requested:

- 1) SAS editor file used in the invention process;
- 2) A representative data input file used in the invention process;
- 3) SAS log file generated from run of said SAS editor and said representative data file;
- 4) SAS output file from run of said SAS editor and said representative data file;

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Hard copy examples of items 1-4 are enclosed as Exhibits A-D, respectively. As requested by the Examiner by telephone on April 30, 2002, soft copies corresponding to the hard copies are included on the enclosed floppy disk with files corresponding to item 1) in the "SAS Editor File" folder, to item 2) in the "Data Input" folder, to item 3) in the "SAS Log File" folder, and to item 4) in the "SAS Output File."

5) Null and Alternative Hypothesis directed to said output file;

Applicant is uncertain what the Examiner means by "Null and Alternative Hypothesis." As the Applicant understands it, a Null and Alternative Hypothesis directed to said output file

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 15, 2002

Date of Deposit

Signature Maria Carvalho

Maria Carvalho

Typed or Printed Name of Person Signing Certificate

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does not exist. Applicant requests clarification from the Examiner if further information is desired from the Applicant.



5) Nonpatent literature used in the development of the equations of claim 9.

Nonpatent literature is included as Exhibit E.

Applicant asks that all claims be allowed. Applicant also asks that the Examiner to consider the Information Disclosure Statement mailed by Applicant on January 19, 2000 (copy enclosed) and initial the cited reference. Enclosed is a Petition Automatic Extension of Time with the required fee. Please apply any other charges or credits to deposit account 06-1050, referencing the above attorney docket number 08575-046001.

Respectfully submitted,

Date: May 15, 2002

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